

# Uplink Improvement of Long Term Evolution Advance: A Survey Paper

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**ABSTRACT:** The User Equipment's (UE) now days are able to provide different internet applications and services that raise the demand or requirement for high speed data transfer and Quality of Service (QoS). According to that, the next generation of mobile communications systems determined by these demands is expected to provide higher data rates and better link quality compared to the existing systems. In the last ten years, it has seen that significant advances of multiuser MIMO (MUMIMO) in wireless communication. MUMIMO is now introduced in different standards or known to be as new generation wireless standards for example LTE-Advanced and 802.16m. The number of users is increasing day by day with a large number of applications and at the same time data rates with high transmission and reliability of communication are required. Additionally, growing concern is also there about the green communication which relates to the special effects of the radiation emitted from wireless devices on the human body. We introduce the basic concepts of LTE Advance uplink as well as MIMO communication and some of the literatures are introduced in this paper.

**Key words:** LTE Advance, MIMO, Coordinated Multi-Point, 3GPP, Wireless Network, Communication

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## I. INTRODUCTION

Transmitted power in Long Term Evolution known as Advanced (LTE-A) uplink (UL) which is strongly linked to the number of physical resource blocks (PRBs) allocated LTE-A. It allows the use of non-contiguous resource allocation in the UL together with the simultaneous transmission along with the multiple component carriers named as carrier aggregation. These features lead to an increase in the spectral efficiency and also link the gain in the performance obtained from frequency diversity. Long Term Evolution known as Advanced (LTE-A) is the solution presented by the 3rd Generation Partnership Project (3GPP) acknowledged as the fourth generation mobile communications technology [1].

LTE-A aims at the ambitious data rates of 1 GB/s in the downlink (DL) and 500 Mb/s in the uplink (UL). In this sense, as a means to increase UL spectral efficiency LTE-A introduces new approaches. Among all of them the allocation of non-contiguous resources and the use of multiple Component Carriers (CC), also denoted as Carrier Aggregation (CA) in the 3GPP arena, are included. Both technologies bring the benefit of additional frequency diversity gain, but do not preserve the single carrier property [1].

Long Term Evolution-Advanced (LTE-Advanced) is a standard of cellular networking that offers higher throughput when it is compared with its predecessor which is known as Long Term Evolution (LTE). Long Term Evolution-Advanced networks can transmit data with a speed of 1 GB per second which is comparatively higher when it compared with LTE networks having a maximum data transmission of 300 MB per second. Accordingly, the high demand of the cellular bandwidth shows that carriers may have to use LTE-Advanced which results with the increase in their capacity and it is not necessary to deliver significantly higher speeds. LTE-Advanced network uses MIMO technology known as multiple-input and multiple-output to deliver or make the data transmission faster via more than one signal. MIMO requires multiple antennas to take input or to receive those signals, which can limit its use in compact devices such as mobiles, smart phones and tablets [2].

### LTE-Advanced requirements

Based on the requirements of ITU for IMT-Advanced systems, 3GPP created technical reports summarizing LTE-Advanced requirements in [3]. The IMT-Advanced key features delineated in the circular letter inviting candidate radio interface technologies are given below:

- A high degree of commonality of functionality worldwide while retaining the flexibility to support a broad range of services and applications in a cost efficient manner;
- Compatibility of services with fixed networks and within IMT;
- Interworking Capability with other radio access systems;

- High quality mobile services;
- User equipment which is suitable for worldwide use;
- User-friendly applications, services and equipment;
- Worldwide roaming capability; and
- Enhanced instantaneous peak data rates to support advanced services and
- Applications (100 Mbps and 1 Gbps for higher mobility and lower mobility respectively were established as targets for research).

## II. BACKGROUND

### Up link MU-MIMO Technology

MIMO technology also known as Multiple-Input Multiple-Output which is a wireless technology that uses number of transmitters and receivers for the transfer of bulk data or a large number of data at the same instant of time. In MIMO technology, multipath is a radio-wave phenomenon that takes advantage of a collision where transmitted information collides with walls, ceilings and many more different objects and bounces very easily and quickly so that it reaches the receiving antenna many times via different angles and at slightly different times [4].



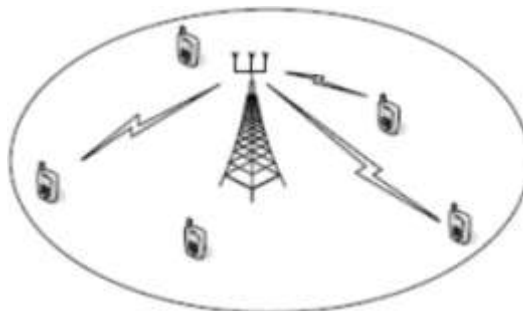
**Figure 1** MIMO Technology uses multiple radios to transfer more data at the same time [4]

MIMO technology takes advantages of multipath behavior by using multiple and “smart” transmitters and receivers such that with an added “spatial” dimension leads to the dramatic increase in performance and range. MIMO allows the transmission, send and receive multiple spatial streams via multiple antennas at the same time.

MIMO makes the work of antennas more and more smarter by enabling them and combining them with the data streams arriving from different sources and at a different interval of time which helps effectively to increase the capturing power of receiver signal. Smart antennas are very effective and used spatial diversity technology such that it always puts surplus antennas to good use. If there are more antennas than the spatial streams, the concerning advantage is additional antennas can add receiver diversity and increase range.

### 2.1.1. Uplink MIMO

When comparison is done, Uplink MIMO schemes for LTE will differ from downlink MIMO schemes. It is used to take into account terminal complexity issues. MU-MIMO can be used for the uplink. Multiple user terminals may transmit simultaneously on the same resource block which is to be same. This is also referred to as SDMA known as spatial domain multiple access.



**Figure 2** Multiuser MIMO Systems

This scheme requires only one transmit antenna for the entire process at UE side which is a very big advantage. The UEs shares the same resource block which have to apply mutually in an orthogonal pilot patterns. To exploit the benefits of two or more than two transmit antennas but still keep the UE cost comparatively low, antenna subset selection can be employed. In the beginning, this method will be used. For example, a UE will have to transmit by two antennas but only have one transmit chain and amplifier. A switch will have to choose then antenna that provides the best channel to the eNodeB [4].

### **Why MU-MIMO?**

- More users and more devices per user: There is a constant gain in the total number of users who uses smart phones and tablets leads to the significant increases in the total number of Wi-Fi network users. The average number of devices connected in United States as per the usage is expected to explode from nine devices today to more than twenty devices by 2020. Many users regularly carry at least two devices: A mobile phone and a laptop or tablet computer. Thus, Wi-Fi networks are more crowded and the total number of serving devices in a limited amount of spectrum is a big issue as well as a challenge too.
- Strong appetite for data: Wi-Fi is used for content consumption and also in an increasing manner. The most consuming content is streaming music and video on mobile devices such as smartphones and tablets. High-quality video content demands through set-top boxes, televisions, laptops and mobile apps also for over-the-air data synchronization with cloud storage, Skype, video conferencing, and NAS. All of them require high bandwidth as well as data too. Wi-Fi network capacity must increase dramatically to meet this demand.
- Need for simple clients: With the proliferation of smartphones and tablets which have limited physical space to accommodate components, there is a requirement of single antenna so as to require a single antenna is an attractive benefit. Particularly it happens when the smartphones experiences very higher throughput while reducing cost and space.
- Cellular offload: In 2013, Global mobile data traffic grew 81% and is expected that in between the year 2013 to 2018, there is an increment of nearly 11-fold. Wherever possible, this increased the necessity for data on the mobile networks which is driving the cellular carriers all around the world to offload mobile WAN traffic to Wi-Fi. As both the subscribers and devices tend to connect over Wi-Fi networks whenever it comes in the coverage or in reach. These trends indicate that Wi-Fi offloading will continuously increase exponentially over the succeeding few years with the proliferation of Wi-Fi hotspots and as mobile subscribers continue to intensify consumption of data over mobile devices.
- Demand in enterprise networks: 89% of enterprise IT departments allow each of their employees to bring their devices (BYOD). Significantly more and more devices and new applications to facilitate employee mobility which increases flexibility and the productivity of employees mean enterprise networks are handling heavy Wi-Fi traffic and their demands of connectivity will continue to increase. The drastic increase in the number of devices will lead to the increase in the employees personal devices i.e. known to be as smartphones, laptops etc. to enterprise networks is driving the requirement for enterprise IT to replace their Ethernet networks with Wi-Fi in enterprise networks [5].

### **Up link Transmit Diversity Technology**

Transmit diversity can be considered as a technology in wireless communication in order to enhance the performance of the system. Some relevant methods of transmit diversity have been represented based on link-level research but do not consider MAI which is known as multiple access interference, existing between subscribers in the uplink of multi-user system. TD techniques are also known as Transmit diversity which expect to be included in the uplink of the upcoming Long Term Evolution - Advanced (LTE-A) systems to boost the user performance in comparatively less Signal-to-Noise Ratio (SNR) conditions [6]. Most of the mobile communication channels must resist the effects of fading caused by multipath propagation. An important way of quantifying fading is in terms of a measure called the coherence bandwidth which indicates the quantity of bandwidth that will fade in a correlated fashion at any instant time.

#### **2.2.1. Transmission Modes in MIMO LTE System**

In MIMO system there are two types of mode and known as the most popular modes that are utilized in our LTE analysis which Transmits Diversity mode as well as Spatial Multiplexing. Diversity modes can be used either in the receive Diversity or in the Transmit Diversity side, where as in received diversity side it is known for the simply combining operation of different types of replicas of the same transmitted signal. Transmit Diversity requires the signal transmission of Space Time Coding operation. In LTE there are different modes of Transmit Diversity defined as below:

**a) Transmit diversity mode**

The Transmit Diversity techniques where only transmitted signal replicas are used to diminish error rate in the receiver side. Transmit Diversity with two transmitters side antennas and one receiver side antenna along with one data stream is defined as below codes. Space Frequency Block Coding (SFBC) are defined when two eNodeB antennas are there for transmit diversity operation. Space-Time Block Coding derived SFBC is commonly known is Alamouti codes. The range created by the transmitter utilizes diversity of space and time as well as frequency diversity. The space-time coding scheme of Alamouti can achieve full spatial diversity gain. TxD issue is for single ranks i.e. it does not maintain multi stream transmission [7]. In LTE for SFBC transmission, the symbols are transmitted from two eNodeB antenna ports on adjacent pairs of subcarriers are as follows

$$\begin{bmatrix} Y^0(1) & Y^0(2) \\ Y^1(1) & Y^1(2) \end{bmatrix} \quad (i)$$

Where  $Y^p(K)$  denotes the symbols transmitted on the  $k_{th}$  subcarrier from antenna port  $p$ . One important characteristic of such codes is that the signal streams being transmitted are orthogonal and a simple linear receiver is required for optimal performances. Through diversity gain utilization, the reliability of diversity scheme increases. As a result of the diversity gain, there is a decrement in the rate of error. The data rate is also logarithmically improved with respect to the quantity of antennas, as antenna diversity increases the SNR linearly.

$$C = B \log_2(1 + SNR) \quad (ii)$$

MIMO system's diversity gain is mostly characterized by the number of independent fading diversity branches, also called Diversity Order. The diversity order is given as the slope of BLER versus SNR curves on a log-log scale.  $N_t$  transmit antennas and  $N_r$  receive antenna are presented in a MIMO system, it has a diversity order of  $N_d = N_t \cdot N_r$ . The diversity order has consequence on the system reliability since probability of one of the diversity branches having high SNR is higher compared to only one branches.

**b) Spatial multiplexing mode**

In comparison with the diversity modes mentioned in the above section, the mode of Spatial Multiplexing which relates to the splitting of incoming high data rate stream into  $N_t$  transmit independent data rate streams. The Spatial mode of multiplexing is most significant in the data throughput point of view in LTE system. In MIMO system with  $N_t$  transmit antenna the nominal spectral efficiency can be enhanced by a number of  $N_t$  stream can be successfully and separately decoded. Multiplexing gain is defined as the factor  $N_t$ . In spatial multiplexing ( $N_t \times N_r$ ) MIMO system, the greatest data rate grows as [8]

$$N \ln(N_t, N_r) \log_2(1 + SNR) \quad (iii)$$

The Spatial Multiplexing mode in LTE is designed as mode 4 and it is recognized as closed loop Spatial Multiplexing mode. In SISO OFDM systems, the maximal data throughput is influenced by the available bandwidth and the parameter of the OFDM signal, for example, the number of subcarriers and the modulation order like QPSK, 16QAM, 64QAM. For given Frequency bandwidth  $B$  the maximal data Throughput calculated in bits per second can be approximated as given in below equation:

$$Throughput(T) = \frac{N_{FB} \cdot N_{CC} \cdot N_{OFDM}}{T_{cub}} \cdot ECR \quad (iv)$$

Where  $N_{FB}$  is the number of frequency Block in the certain frequency band ( $B$ );  $N_{SC}$  is the number of subcarrier in one frequency block;  $N_{OFDM}$  which is the number of OFDM symbols present in one subframe equal to 12 and 14 respectively. Now we have 5 MHz bandwidth  $N_{FB} = 25$ ,  $N_{SC} = 12$ ,  $N_{OFDM} = 14$ , in LTE system has 16QAM modulation scheme so  $N_b = 4$  and  $ECR = 0.369$ , also here sub frame duration 1ms. Now substituting all this values in equation (iv) got maximum data Throughput for 5 MHz bandwidth equal to 6.1Mbps [8].

**Up link Multi cell Reception**

CoMP (Uplink Co-ordinated Multi-Point) is a promising technique for enhancing the capacity of 4G networks [9]. The uplink is gaining increasing attention because of the drastic increase of subscriber-generated data in the form of photos, videos and file-sharing. In practice, sharing of uplink received signals across cells is restricted by backhaul bandwidth. In addition, the receiver aperture, or the significant number of signals from antennas at nearby cell sites that can be processed at a particular cell, may be restricted due to hardware constraints.

Uplink communication in the interference restricted cellular systems is difficult and has been studied for decades. In the uplink transmission, besides its own available resources a mobile station (MS) is constrained by the co-channel interferences (CCI). Coordinated multipoint or cooperative MIMO is one of the capable concepts to improve cell edge user data rate as well as spectral efficiency. Long Term Evolution (LTE) and mobile WiMAX utilises

Multiple-Input Multiple-Output (MIMO)-Orthogonal Frequency Division Multiplexing (OFDM) and achieve enhanced spectral efficiency within one cell. In this method coordination of base stations to stay away from interference and constructive exploitation of interference through coherent base station cooperation is achieved. Conceptually, we extend single-cell MIMO techniques, such as multi-user (MU-MIMO), to multiple cells. The techniques of cooperation aim to avoid or exploit interference in order to improve the cell edge along with averaged data rates. CoMP can be applied both in the uplink as well as downlink. One of the primary differences between CoMP Multi-User (MU) MIMO systems and single-cell MU MIMO systems is present in the per base station power constraint. By exploiting CoMP, coherent transmission with coordinated base stations can considerably improve both the cell average throughput as well as the cell edge throughput [10].

One way to implement a multi-cell joint processing system is to deploy a centralized processing server which is linked with all the base-stations through high-capacity backhaul links. When the capacities of the backhaul links are sufficiently large, the joint processing system which is implemented across the different cells in the network can be displayed as a multiple-access channel in the uplink in addition to a broadcast channel in the downlink, which leads to the concept of network MIMO [11].

### III. LITERATURE SURVEY

Multi-user MIMO communication can give significant gains by using spatial multiplexing. However, it needs better feedback to provide correct channel state information at the transmitter (CSIT) for minimizing the interference of multiuser. 3GPP LTE provides support for MU-MIMO, but it is not enough to extract sizable gains. In this paper, our basic and primary goal is to exploit the system's resources efficiently for MU-MIMO in LTE. We observed that the TM5 i.e. known as the transmission mode 5 committed for MU-MIMO (Multi-user Multiple input Multiple output) utilizes wideband feedback method which is very useful for providing channel directional information (CDI) or precoding matrix indicator (PMI) in the existing LTE standard of 3GPP (Rel.8). Therefore in this work, we recommend to take advantage of the sub-band feedback for providing more and more accurate and frequent update of PMI. However, in order to support this or maintain this feedback method, we have to or need to propose a new downlink control information (DCI) format for TM5 i.e. transmission mode 5 that will contain additional fields or supplementary data when it compare with the existing DCI format 1D [12].

MU-MIMO transmission scheme has drawn a lot of attentions during the modern development of Long Term Evolution (LTE) systems. Multiuser multiple-input multiple-output plays a apparent role in the transmission. Based on the feedback information or response of the downlink channel, evolved NodeB may accomplish multiple accesses via MIMO technology in MU-MIMO Transmission Mode and allow user equipment's to distribute resources in frequency as well as time domain. In this paper, we review several signal detectors and on the basis of which evaluate their performance in MU-MIMO transmission. The review work targeted or aimed to check the or to evaluate the feasibility study of receivers in LTE systems. Different scenarios and correlations have been considered in the evaluation as well as in assessment progress, for example low and high spatial correlation, feedback delay and real channel estimation. The result of

Simulation shows that the benefits can be obtained by MU-MIMO transmission in the spatial correlated MIMO channel because of the higher condition number in the channel. The interference aware receivers are also robust in Multiuser multiple-input multiple-output transmission with imperfect conditions of working, including channel errors of estimation and precoding matrix index response or feedback delay [13].

3GPP has completed a thorough study on coordinated multipoint transmission as well as reception techniques to facilitate the communications which is cooperative across multiple transmission and reception points such as cells, for the Advanced system of LTE. In the CoMP operation, multiple points coordinate with each other in such a way that the transmission signals varies from point to point such as from the one point to other points which do not incur serious interference or even can be exploited as a significant signal. The basic goal of the study is to evaluate the potential performance profit or benefit of CoMP techniques and the implementation aspects which includes the complexity of the standards support for CoMP. This article is having some of the deployment scenarios in which CoMP techniques will likely be much more beneficial and offers an overview of CoMP schemes that might be supported in LTE-Advanced given that the modern silicon or DSP technologies and designs of backhaul available today. In addition, practical implementation as well as operational challenges are also discussed. We also assess or evaluate the performance benefits of CoMP in these scenarios of deployment with traffic which varying from low load to a very high load [14].

Network Multiple Input Multiple Output is like to be a family which suppresses of techniques in which each user in a wireless system is served through the all access points within its influenced range. By coordinating tightly, the transmission of signal and reception of signals at multiple access points, Network MIMO transcends the restrictions on spectral efficiency due to inter-cell interference. Taking prior information theorectical analyses of Network MIMO to the next level, this paper computes the spectral efficiency gains obtainable under realistic propagation and operational conditions. Our study relates with the

simulations in detail and, for specificity, is conducted within the framework and outline of the IEEE 802.16e Mobile WiMAX system. The relevant physical-layer functionalities of Mobile WiMAX are precisely replicated. In addition to make possible the coordination between the access points, we postulate an indoor deployment organized around a backhaul of Gigabit-Ethernet. The results confirm that Network MIMO stands to provide a multiple fold rise in spectral efficiency under such conditions [15].

Adaptive algorithm for MIMO detection under LTE-A system is based on the detection of sphere is proposed in this paper. The algorithm proposed in this paper uses M-algorithm for reference to eliminate unreliable constellation candidates before the search is being performed, and the number of constellation reservation is adaptively tune up according to SNR. Simulations show about the LTE-A downlink performance of BER also represents as the proposed detection algorithm which is nearly the same as maximum likelihood (ML) detection algorithm. On the other hand, the complexity is reduced by on an approximation of 30% as compared with full constellation sphere detection [16].

#### IV. CONCLUSION

This paper summarizes the LTE Advanced improvements that have been evaluated and specified throughout the respective study and work item phase within 3GPP. The different features deliver varying performance gains and will have certain impacts on the system complexity and cost. LTE-Advanced is an evolution of LTE and was finalized about three years after LTE Release 8 in 3GPP standardization. This paper presents a basic introduction to the fundamentals of Uplink LTE-A techniques communication. Uplink LTE-A is a radio communications technology or RF technology that is being mentioned and used in various latest technologies these days. Basically we surveyed different technologies of Uplink LTE advanced where communication strategies are distributed to the end user for better uplink highspeed.

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